

|  |                    |            |
|--|--------------------|------------|
| <b>Form PTO-1449 (Modified)</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS<br/>FOR APPLICANT'S<br/>INFORMATION DISCLOSURE STATEMENT</b><br><br>(Use several sheets if necessary) | Atty Docket No.    | Serial No. |
|  | OSVRP0152USA       | 10/796,259 |
|  | Applicant:         |            |
|  | Ferguson, James L. |            |
|  | Filing Date        | Group      |
|  | March 9, 2004      | 2622       |

## U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date (MM/YYYY) | Name            | Class | Sub-class | Filing Date if Appropriate |
|------------------|-----------------|----------------|-----------------|-------|-----------|----------------------------|
| /S.H./           | RE032521        | 10/1987        | FERGASON        |       |           |                            |
|                  | 2885551         | 05/1959        | GREANIAS        |       |           |                            |
|                  | 3329474         | 07/1967        | HARRIS ET AL    |       |           |                            |
|                  | 3375052         | 03/1968        | KOSANKE, ET AL  |       |           |                            |
|                  | 3439348         | 03/1968        | HARRIS, ET AL   |       |           |                            |
|                  | 3499700         | 03/1970        | HARRIS ET AL    |       |           |                            |
|                  | 3503670         | 03/1970        | KOSANKE ET AL   |       |           |                            |
|                  | 3554632         | 01/1971        | CHITAYAT        |       |           |                            |
|                  | 3854793         | 12/1974        | KAHN            |       |           |                            |
|                  | 4012116         | 03/1977        | YEVICK          |       |           |                            |
|                  | 4090219         | 05/1978        | ERNSTOFF ET AL  |       |           |                            |
|                  | 4097128         | 09/1978        | MATSUMOTO ET AL |       |           |                            |
|                  | 4110794         | 09/1978        | LESTER          |       |           |                            |
|                  | 4114990         | 09/1978        | MASH ET AL      |       |           |                            |
|                  | 4385806         | 05/1983        | FERGASON        |       |           |                            |
|                  | 4410238         | 10/1983        | HANSON          |       |           |                            |
|                  | 4436376         | 03/1984        | FERGASON        |       |           |                            |
|                  | 4468703         | 08/1984        | FUJIWARA ET AL  |       |           |                            |
|                  | 4516837         | 05/1985        | SOREF ET AL     |       |           |                            |
|                  | 4540243         | 09/1985        | FERGASON        |       |           |                            |
|                  | 4582396         | 04/1986        | BOS, ET AL      |       |           |                            |
|                  | 4611889         | 09/1986        | BUZAK           |       |           |                            |
| ▼                | 4648691         | 03/1987        | OGUCHI ET AL    |       |           |                            |

| Examiner Initial | Document Number | Date (MM/YYYY) | Name            | Class | Sub-class | Filing Date if Appropriate |
|------------------|-----------------|----------------|-----------------|-------|-----------|----------------------------|
| /S.H./           | 4649425         | 03/1987        | PUND            |       |           |                            |
|                  | 4719507         | 04/1990        | BOS             |       |           |                            |
|                  | 4755038         | 07/1988        | BAKER           |       |           |                            |
|                  | 4760389         | 07/1988        | AOKI            |       |           |                            |
|                  | 4834500         | 05/1989        | HILSUM ET AL    |       |           |                            |
|                  | 4910413         | 03/1991        | TAMUNE          |       |           |                            |
|                  | 4917452         | 04/1990        | LIEBOWITZ       |       |           |                            |
|                  | 4923286         | 05/1990        | GRUPP           |       |           |                            |
|                  | 4958915         | 03/1991        | OKADA ET AL     |       |           |                            |
|                  | 4969717         | 11/1990        | MALLINSON       |       |           |                            |
|                  | 4991924         | 02/1991        | SHANKAR ET AL   |       |           |                            |
|                  | 4997263         | 03/1991        | COHEN ET AL     |       |           |                            |
|                  | 5012274         | 04/1990        | DOLGOFF         |       |           |                            |
|                  | 5013140         | 05/1989        | HEALEY ET AL    |       |           |                            |
|                  | 5074647         | 12/1991        | FERGASON, ET AL |       |           |                            |
|                  | 5083199         | 01/1992        | BORNER          |       |           |                            |
|                  | 5093652         | 03/1992        | BULL ET AL      |       |           |                            |
|                  | 5128782         | 07/1992        | WOOD            |       |           |                            |
|                  | 5138449         | 09/1992        | KERPCHAR        |       |           |                            |
|                  | 5143433         | 09/1992        | FARRELL         |       |           |                            |
|                  | 5144292         | 09/1992        | SHIRAISHI ET AL |       |           |                            |
|                  | 5146496         | 04/1995        | WOOD            |       |           |                            |
|                  | 5162785         | 10/1992        | FAGARD          |       |           |                            |
|                  | 5164829         | 10/1992        | WADA, MICHIO    |       |           |                            |
|                  | 5187603         | 02/1993        | BOS             |       |           |                            |
|                  | 5206633         | 03/1993        | ZALPH           |       |           |                            |
|                  | 5221982         | 06/1993        | FARIS           |       |           |                            |
|                  | 5295009         | 03/1994        | BARNIK ET AL    |       |           |                            |
| ↓                | 5300942         | 04/1994        | DOLGOFF         |       |           |                            |
|                  |                 |                |                 |       |           |                            |

| Examiner Initial | Document Number | Date (MM/YYYY) | Name            | Class | Sub-class | Filing Date if Appropriate |
|------------------|-----------------|----------------|-----------------|-------|-----------|----------------------------|
| /S.H./           | 5311217         | 05/1994        | GUERIN          |       |           |                            |
|                  | 5313225         | 05/1994        | MIYADERA        |       |           |                            |
|                  | 5315695         | 05/1994        | SAITO ET AL     |       |           |                            |
|                  | 5317400         | 04/1994        | GURLEY ET AL    |       |           |                            |
|                  | 5319478         | 06/1994        | FUNFSCHILLING   |       |           |                            |
|                  | 5325218         | 06/1994        | WILLETT ET AL   |       |           |                            |
|                  | 5307352         | 10/1994        | PILLING ET AL   |       |           |                            |
|                  | 5369266         | 11/1994        | NOHDA ET AL     |       |           |                            |
|                  | 5398038         | 03/1995        | KAVANAGH ET AL  |       |           |                            |
|                  | 5416496         | 04/1995        | WOOD            |       |           |                            |
|                  | 5422680         | 05/1995        | LAGONI ET AL.   |       |           |                            |
|                  | 5436755         | 07/1995        | GUERIN          |       |           |                            |
|                  | 5485172         | 01/1999        | SAWACHIKA ET AL |       |           |                            |
|                  | 5519524         | 05/1995        | FERGASON ET AL  |       |           |                            |
|                  | 5532854         | 07/1996        | FERGASON        |       |           |                            |
|                  | 5537256         | 07/1996        | FERGASON        |       |           |                            |
|                  | 5572341         | 11/1994        | FERGASON        |       |           |                            |
|                  | 5606458         | 02/1997        | FERGASON        |       |           |                            |
|                  | 5617112         | 03/1997        | YOSHIDA ET AL.  |       |           |                            |
|                  | 5621572         | 03/1997        | FERGASON        |       |           |                            |
|                  | 5689283         | 11/1997        | SHIROCHI        |       |           |                            |
|                  | 5715029         | 02/1998        | FERGASON        |       |           |                            |
|                  | 5858589         | 01/1999        | GOVAERT ET AL   |       |           |                            |
|                  | 5907358         | 05/1994        | TATSUMI         |       |           |                            |
|                  | 6268843         | 07/2001        | ARAKAWA         |       |           |                            |
|                  | 6448944         | 09/2002        | RONZANI ET AL   |       |           |                            |
| ✓                | 6714337         | 03/2004        | AMM             |       |           |                            |

## FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number              | Date (MM/YYYY) | Country | Class | Sub-class | Translation |    |
|------------------|------------------------------|----------------|---------|-------|-----------|-------------|----|
|                  |                              |                |         |       |           | Yes         | No |
| /S.H./           | 579534 (AU-B-45044/85)       | 10/1991        | AU      |       |           |             |    |
|                  | 2611389 A                    | 09/1988        | FR      |       |           |             | X  |
|                  | 2033602                      | 05/1980        | GB      |       |           |             |    |
|                  | 03-200885                    | 01/1991        | JP      |       |           | Abstract    |    |
|                  | 03-249730                    | 10/1991        | JP      |       |           | Abstract    |    |
|                  | 03-288885                    | 12/1991        | JP      |       |           | Abstract    |    |
|                  | 04-113308                    | 04/1992        | JP      |       |           | Abstract    |    |
|                  | 05-289044 ENGL ABST & JP TXT | 02/1994        | JP      |       |           | Abstract    |    |
|                  | 1985-125891                  | 07/1985        | JP      |       |           | X           |    |
|                  | 1991-125333 3-125333         | 12/1991        | JP      |       |           | X           |    |
|                  | 1993-188345                  | 07/1993        | JP      |       |           | X           |    |
|                  | 3-071111                     | 03/1991        | JP      |       |           | X           |    |
|                  | 6-160811                     | 03/1993        | JP      |       |           | X           |    |
|                  | 5-127608                     | 05/1993        | JP      |       |           | X           |    |
|                  | 5-241525                     | 04/1993        | JP      |       |           | Abstract    |    |
|                  | 5-80717                      | 04/1993        | JP      |       |           | X           |    |
|                  | 5573023                      | 06/1980        | JP      |       |           | Abstract    |    |
|                  | 56114931                     | 09/1981        | JP      |       |           | Abstract    |    |
|                  | 58142315                     | 04/1993        | JP      |       |           | Abstract    |    |
|                  | 6-102484                     | 04/1994        | JP      |       |           | X           |    |
|                  | 6-160811                     | 06/1994        | JP      |       |           | X           |    |
|                  | 6-161383                     | 06/1994        | JP      |       |           | Abstract    |    |
|                  | 6-82846                      | 03/1994        | JP      |       |           | Abstract    |    |
|                  | 60083486                     | 05/1985        | JP      |       |           | Abstract    |    |
|                  | 62231221                     | 10/1987        | JP      |       |           | Abstract    |    |
|                  | 6247623                      | 03/1987        | JP      |       |           | Abstract    |    |
|                  | 6313018                      | 01/1988        | JP      |       |           | Abstract    |    |
| ▼                | 9315497                      | 08/1993        | WO      |       |           |             |    |
|                  |                              |                |         |       |           |             |    |
|                  |                              |                |         |       |           |             |    |

## OTHER ART

|                     |  |
|---------------------|--|
| Examiner<br>Initial | Author, Title, Date, Pertinent Pages, etc. |
| /S.H./              | ASIA DISPLAY '95; PP79-81, October, 1995   |
|                     |  |
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|----------|-----------------|-----------------|------------|
| EXAMINER | /Steven Holton/ | DATE CONSIDERED | 10/21/2008 |
|----------|-----------------|-----------------|------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement PTO-1449 (Modified)

The identification of any reference is not intended to be, and should not be understood as being, an admission that such publication, in fact, constitutes "prior art" within the meaning of applicable law since, for example, a given reference may have a later effective date than first seems apparent or the reference may have an effective date which can be antedated. The "prior art" status of any reference is a matter to be resolved during prosecution.

R:\Worgull\Forms\IDS.wpd (IDS1449.FRM) (2/97)